



DEDUCTION SYSTEMS

Answer-Set Programming II

* slides adapted from Torsten Schaub [Gebser et al.(2012)]

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Agenda

Solving procedure for ASP programs

- Partial Interpretations
- Unfounded sets
- Assignments
- Nogoods
- Unit-propagation
- Conflict-driven nogood learning algorithm (CDNL)

Motivation of Conflict-driven ASP Solving

- Goal Approach to computing stable models of logic programs, based on concepts from
 - Constraint Processing (CP) and
 - Satisfiability Testing (SAT)
- Idea View inferences in ASP as unit propagation on nogoods
- Benefits:
 - A uniform constraint-based framework for different kinds of inferences in ASP
 - Advanced techniques from the areas of CP and SAT
 - Highly competitive implementation

Partial interpretations

or: 3-valued interpretations

A **partial interpretation** maps atoms onto truth values *true*, *false*,
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- Representation: $\langle T, F \rangle$, where
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 - $\langle T, F \rangle$ is **total** if $T \cup F = \mathcal{A}$ and $T \cap F = \emptyset$
- Definition: For $\langle T_1, F_1 \rangle$ and $\langle T_2, F_2 \rangle$, define
 - $\langle T_1, F_1 \rangle \sqsubseteq \langle T_2, F_2 \rangle$ iff $T_1 \subseteq T_2$ and $F_1 \subseteq F_2$
 - $\langle T_1, F_1 \rangle \sqcup \langle T_2, F_2 \rangle = \langle T_1 \cup T_2, F_1 \cup F_2 \rangle$

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Intuitively, $\langle T, F \rangle$ is what we already know about P

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- Rules satisfying Condition 1 are not usable for further derivations
- Condition 2 is the unfounded set condition treating cyclic derivations: **All rules still being usable to derive an atom in U require an(other) atom in U to be true**

Example

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- Analogously for $\{b\}$

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- $\{a, b\}$ is an unfounded set of P wrt $\langle \emptyset, \emptyset \rangle$
- $\{a, b\}$ is an unfounded set of P wrt any partial interpretation

Assignments

- An assignment A over $dom(A) = atom(P) \cup body(P)$ is a sequence

$$(\sigma_1, \dots, \sigma_n)$$

of signed literals σ_i of form Tv or Fv for $v \in dom(A)$ and $1 \leq i \leq n$

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- Given $A = (\sigma_1, \dots, \sigma_{k-1}, \sigma_k, \dots, \sigma_n)$, we let $A[\sigma_k] = (\sigma_1, \dots, \sigma_{k-1})$
- We sometimes identify an assignment with the set of its literals
- Given this, we access true and false propositions in A via

$$A^T = \{v \in dom(A) \mid Tv \in A\} \text{ and } A^F = \{v \in dom(A) \mid Fv \in A\}$$

Nogoods, solutions, and unit propagation

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- For a nogood δ , a literal $\sigma \in \delta$, and an assignment A , we say that $\bar{\sigma}$ is **unit-resulting** for δ wrt A , if
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 - 1 $\delta \setminus A = \{\sigma\}$ and
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- For a set Δ of nogoods and an assignment A , **unit propagation** is the iterated process of extending A with unit-resulting literals until no further literal is unit-resulting for any nogood in Δ

Nogoods from logic programs via program completion

The completion of a logic program P can be defined as follows:

$$\{v_B \leftrightarrow a_1 \wedge \dots \wedge a_m \wedge \neg a_{m+1} \wedge \dots \wedge \neg a_n \mid \\ B \in \text{body}(P), B = \{a_1, \dots, a_m, \text{not } a_{m+1}, \dots, \text{not } a_n\}\}$$

$$\cup \{a \leftrightarrow v_{B_1} \vee \dots \vee v_{B_k} \mid \\ a \in \text{atom}(P), \text{body}(a) = \{B_1, \dots, B_k\}\},$$

where $\text{body}(a) = \{\text{body}(r) \mid r \in P, \text{head}(r) = a\}$

Nogoods from logic programs via program completion

- The (body-oriented) equivalence

$$v_B \leftrightarrow a_1 \wedge \dots \wedge a_m \wedge \neg a_{m+1} \wedge \dots \wedge \neg a_n$$

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can be decomposed into two implications:

- 1 $v_B \rightarrow a_1 \wedge \dots \wedge a_m \wedge \neg a_{m+1} \wedge \dots \wedge \neg a_n$
is equivalent to the conjunction of

$$\neg v_B \vee a_1, \dots, \neg v_B \vee a_m, \neg v_B \vee \neg a_{m+1}, \dots, \neg v_B \vee \neg a_n$$

and induces the set of nogoods

$$\Delta(B) = \{ \{TB, Fa_1\}, \dots, \{TB, Fa_m\}, \{TB, Ta_{m+1}\}, \dots, \{TB, Ta_n\} \}$$

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can be decomposed into two implications:

② $a_1 \wedge \dots \wedge a_m \wedge \neg a_{m+1} \wedge \dots \wedge \neg a_n \rightarrow v_B$

gives rise to the nogood

$$\delta(B) = \{FB, Ta_1, \dots, Ta_m, Fa_{m+1}, \dots, Fa_n\}$$

Nogoods from logic programs via program completion

- Analogously, the (atom-oriented) equivalence

$$a \leftrightarrow v_{B_1} \vee \dots \vee v_{B_k}$$

yields the nogoods

- 1 $\Delta(a) = \{ \{Fa, TB_1\}, \dots, \{Fa, TB_k\} \}$ and
- 2 $\delta(a) = \{Ta, FB_1, \dots, FB_k\}$

Nogoods from logic programs

atom-oriented nogoods

- For an atom a where $body(a) = \{B_1, \dots, B_k\}$, we get

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- For an atom a where $body(a) = \{B_1, \dots, B_k\}$, we get

$$\{T a, F B_1, \dots, F B_k\} \quad \text{and} \quad \{ \{F a, T B_1\}, \dots, \{F a, T B_k\} \}$$

- Example Given Atom x with $body(x) = \{y, \{not z\}\}$, we obtain

x	\leftarrow	y
x	\leftarrow	$not\ z$

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atom-oriented nogoods

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$$\{T a, F B_1, \dots, F B_k\} \quad \text{and} \quad \{\{F a, T B_1\}, \dots, \{F a, T B_k\}\}$$

- Example Given Atom x with $body(x) = \{y, \{not z\}\}$, we obtain

x	←	y
x	←	$not\ z$

$$\{T x, F\{y\}, F\{not\ z\}\}$$

$$\{\{F x, T\{y\}\}, \{F x, T\{not\ z\}\}\}$$

For nogood $\{T x, F\{y\}, F\{not\ z\}\}$, the signed literal

- $T\{not\ z\}$ is unit-resulting wrt assignment $(T x, F\{y\})$

Nogoods from logic programs

body-oriented nogoods

- For a body $B = \{a_1, \dots, a_m, \text{not } a_{m+1}, \dots, \text{not } a_n\}$, we get

$$\{FB, Ta_1, \dots, Ta_m, Fa_{m+1}, \dots, Fa_n\}$$
$$\{\{TB, Fa_1\}, \dots, \{TB, Fa_m\}, \{TB, Ta_{m+1}\}, \dots, \{TB, Ta_n\}\}$$

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- Example Given Body $\{x, \text{not } y\}$, we obtain

$\dots \leftarrow x, \text{not } y$
\vdots
$\dots \leftarrow x, \text{not } y$

$$\{F\{x, \text{not } y\}, Tx, Fy\}$$

$$\{ \{T\{x, \text{not } y\}, Fx\}, \{T\{x, \text{not } y\}, Ty\} \}$$

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- Example Given Body $\{x, \text{not } y\}$, we obtain

$\begin{array}{l} \dots \leftarrow x, \text{not } y \\ \vdots \\ \dots \leftarrow x, \text{not } y \end{array}$	$\{F\{x, \text{not } y\}, Tx, Fy\}$ $\{ \{T\{x, \text{not } y\}, Fx\}, \{T\{x, \text{not } y\}, Ty\} \}$
---	--

For nogood $\delta(\{x, \text{not } y\}) = \{F\{x, \text{not } y\}, Tx, Fy\}$, the signed literal

- $T\{x, \text{not } y\}$ is unit-resulting wrt assignment (Tx, Fy) and
- Ty is unit-resulting wrt assignment $(F\{x, \text{not } y\}, Tx)$

Characterization of stable models

for **tight** logic programs, ie. **free of positive recursion**

Let P be a logic program and

$$\begin{aligned} \Delta_P &= \{\delta(a) \mid a \in \mathit{atom}(P)\} \cup \{\delta \in \Delta(a) \mid a \in \mathit{atom}(P)\} \\ &\cup \{\delta(B) \mid B \in \mathit{body}(P)\} \cup \{\delta \in \Delta(B) \mid B \in \mathit{body}(P)\} \end{aligned}$$

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Theorem

Let P be a **tight** logic program. Then,

$X \subseteq \text{atom}(P)$ is a stable model of P iff

$X = A^T \cap \text{atom}(P)$ for a (unique) solution A for Δ_P

Nogoods from logic programs via loop formulas

Let P be a normal logic program and recall that:

- For $L \subseteq \text{atom}(P)$, the external supports of L for P are

$$ES_P(L) = \{r \in P \mid \text{head}(r) \in L, \text{body}(r)^+ \cap L = \emptyset\}$$

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- The (disjunctive) loop formula of L for P is

$$\begin{aligned} LF_P(L) &= (\bigvee_{A \in L} A) \rightarrow (\bigvee_{r \in ES_P(L)} \text{body}(r)) \\ &\equiv (\bigwedge_{r \in ES_P(L)} \neg \text{body}(r)) \rightarrow (\bigwedge_{A \in L} \neg A) \end{aligned}$$

- Note: The loop formula of L enforces all atoms in L to be false whenever L is not externally supported

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- Note: The loop formula of L enforces all atoms in L to be false whenever L is not externally supported

- The external bodies of L for P are

$$EB_P(L) = \{\text{body}(r) \mid r \in ES_P(L)\}$$

Nogoods from logic programs

loop nogoods

- For a logic program P and some $\emptyset \subset U \subseteq \text{atom}(P)$, define the **loop nogood** of an atom $a \in U$ as

$$\lambda(a, U) = \{Ta, \mathbf{FB}_1, \dots, \mathbf{FB}_k\}$$

where $EB_P(U) = \{B_1, \dots, B_k\}$

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- We get the following set of loop nogoods for P :

$$\Lambda_P = \bigcup_{\emptyset \subset U \subseteq \text{atom}(P)} \{\lambda(a, U) \mid a \in U\}$$

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- The set Λ_P of loop nogoods denies cyclic support among true atoms

Example

- Consider the program

$$\left\{ \begin{array}{ll} x \leftarrow \textit{not } y & u \leftarrow x \\ y \leftarrow \textit{not } x & u \leftarrow v \\ & v \leftarrow u, y \end{array} \right\}$$

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- For u in the set $\{u, v\}$, we obtain the loop nogood:

$$\lambda(u, \{u, v\}) = \{Tu, F\{x\}\}$$

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Similarly for v in $\{u, v\}$, we get:

$$\lambda(v, \{u, v\}) = \{Tv, F\{x\}\}$$

Characterization of stable models

Theorem

Let P be a logic program. Then,

$X \subseteq atom(P)$ is a stable model of P iff

$X = A^T \cap atom(P)$ for a (unique) solution A for $\Delta_P \cup \Lambda_P$

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Some remarks

- Nogoods in Λ_P augment Δ_P with conditions checking for **unfounded sets**, in particular, those being loops
- While $|\Delta_P|$ is linear in the size of P , Λ_P may contain **exponentially many** (non-redundant) loop nogoods

Towards conflict-driven search

Boolean constraint solving algorithms pioneered for SAT led to:

- Traditional DPLL-style approach (DPLL stands for ‘Davis-Putnam-Logemann-Loveland’)
 - (Unit) propagation
 - (Chronological) backtracking
 - in ASP, eg smodels
- Modern CDCL-style approach (CDCL stands for ‘Conflict-Driven Constraint Learning’)
 - (Unit) propagation
 - Conflict analysis (via resolution)
 - Learning + Backjumping + Assertion
 - in ASP, eg clasp

DPLL-style solving

loop

```
propagate                                // deterministically assign literals
if no conflict then
    if all variables assigned then return solution
    else decide                            // non-deterministically assign some literal
else
    if top-level conflict then return unsatisfiable
    else
        backtrack                            // unassign literals made after last decision
        flip                                // assign complement of last decision literal
```

CDCL-style solving

loop

```
propagate                                // deterministically assign literals
if no conflict then
    if all variables assigned then return solution
    else decide                            // non-deterministically assign some literal
else
    if top-level conflict then return unsatisfiable
    else
        analyze                            // analyze conflict and add conflict constraint
        backjump                          // unassign literals until conflict constraint is unit
```


Outline of CDNL-ASP algorithm

- Keep track of deterministic consequences by unit propagation on:
 - Program completion $[\Delta_P]$
 - Loop nogoods, determined and recorded on demand $[\Lambda_P]$
 - Dynamic nogoods, derived from conflicts and unfounded sets $[\nabla]$

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- Keep track of deterministic consequences by unit propagation on:
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 - Dynamic nogoods, derived from conflicts and unfounded sets $[\nabla]$
- When a nogood in $\Delta_P \cup \nabla$ becomes **violated**:
 - **Analyze** the conflict by resolution
(until reaching a Unique Implication Point, short: UIP)
 - **Learn** the derived conflict nogood δ
 - **Backjump** to the earliest (heuristic) choice such that the complement of the UIP is unit-resulting for δ
 - **Assert** the complement of the UIP and proceed
(by unit propagation)

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 - Backjump to the earliest (heuristic) choice such that the complement of the UIP is unit-resulting for δ
 - Assert the complement of the UIP and proceed
(by unit propagation)
- Terminate when either:
 - Finding a stable model (a solution for $\Delta_P \cup \Lambda_P$)
 - Deriving a conflict independently of (heuristic) choices

Algorithm 1: CDNL-ASP

```

Input           : A normal program  $P$ 
Output          : A stable model of  $P$  or "no stable model"
 $A := \emptyset$            // assignment over  $atom(P) \cup body(P)$ 
 $\nabla := \emptyset$          // set of recorded nogoods
 $dl := 0$              // decision level

loop
   $(A, \nabla) := \text{NogoodPropagation}(P, \nabla, A)$ 
  if  $\varepsilon \subseteq A$  for some  $\varepsilon \in \Delta_P \cup \nabla$  then // conflict
    if  $\max(\{dlevel(\sigma) \mid \sigma \in \varepsilon\} \cup \{0\}) = 0$  then return no stable model
     $(\delta, dl) := \text{ConflictAnalysis}(\varepsilon, P, \nabla, A)$ 
     $\nabla := \nabla \cup \{\delta\}$  // (temporarily) record conflict nogood
     $A := A \setminus \{\sigma \in A \mid dl < dlevel(\sigma)\}$  // backjumping
  else if  $A^T \cup A^F = atom(P) \cup body(P)$  then // stable model
    return  $A^T \cap atom(P)$ 
  else
     $\sigma_d := \text{Select}(P, \nabla, A)$  // decision
     $dl := dl + 1$ 
     $dlevel(\sigma_d) := dl$ 
     $A := A \circ \sigma_d$ 

```

Observations

- Decision level dl , initially set to 0, is used to count the number of heuristically chosen literals in assignment A
- For a heuristically chosen literal $\sigma_d = Ta$ or $\sigma_d = Fa$, respectively, we require $a \in (atom(P) \cup body(P)) \setminus (A^T \cup A^F)$
- For any literal $\sigma \in A$, $dl(\sigma)$ denotes the decision level of σ , viz. the value dl had when σ was assigned

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- A conflict is detected from violation of a nogood $\varepsilon \subseteq \Delta_P \cup \nabla$
- A conflict at decision level 0 (where A contains no heuristically chosen literals) indicates non-existence of stable models
- A nogood δ derived by conflict analysis is **asserting**, that is, some literal is unit-resulting for δ at a decision level $k < dl$

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- A nogood δ derived by conflict analysis is **asserting**, that is, some literal is unit-resulting for δ at a decision level $k < dl$
 - After learning δ and backjumping to decision level k , at least one literal is newly derivable by unit propagation
 - No explicit flipping of heuristically chosen literals !

Example: CDNL-ASP

Consider

$$P = \left\{ \begin{array}{llll} x \leftarrow \text{not } y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \text{not } x, \text{not } y \\ y \leftarrow \text{not } x & u \leftarrow v & v \leftarrow u, y & \end{array} \right\}$$

dl	σ_d	$\bar{\sigma}$	δ

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2	$F\{\text{not } x, \text{not } y\}$		

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2	$F\{\text{not } x, \text{not } y\}$	Fw	$\{Tw, F\{\text{not } x, \text{not } y\}\} = \delta(w)$
3	$F\{\text{not } y\}$	Fx $F\{x\}$ $F\{x, y\}$	$\{Tx, F\{\text{not } y\}\} = \delta(x)$ $\{T\{x\}, Fx\} \in \Delta(\{x\})$ $\{T\{x, y\}, Fx\} \in \Delta(\{x, y\})$

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Outline of NogoodPropagation

- Derive deterministic consequences via:
 - Unit propagation on Δ_P and ∇ ;
 - Unfounded sets $U \subseteq \text{atom}(P)$
- Note that U is **unfounded** if $EB_P(U) \subseteq A^F$
 - Note: For any $a \in U$, we have $(\lambda(a, U) \setminus \{Ta\}) \subseteq A$

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- An “interesting” unfounded set U satisfies:

$$\emptyset \subset U \subseteq (atom(P) \setminus A^F)$$

- Wrt a fixpoint of unit propagation,

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 - Note: Tight programs do not yield “interesting” unfounded sets !

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- Wrt a fixpoint of unit propagation,
such an unfounded set contains some loop of P
 - Note: Tight programs do not yield “interesting” unfounded sets !
- Given an unfounded set U and some $a \in U$, adding $\lambda(a, U)$ to ∇ triggers
a conflict or further derivations by unit propagation
 - Note: Add loop nogoods atom by atom to eventually falsify all $a \in U$

Algorithm 2: NogoodPropagation

Input : A normal program P , a set ∇ of nogoods, and an assignment A .
Output : An extended assignment and set of nogoods.

```

U := ∅ // unfounded set

loop
  repeat
    if  $\delta \subseteq A$  for some  $\delta \in \Delta_P \cup \nabla$  then return (A,  $\nabla$ ) // conflict
     $\Sigma := \{\delta \in \Delta_P \cup \nabla \mid \delta \setminus A = \{\bar{\sigma}\}, \sigma \notin A\}$  // unit-resulting nogoods
    if  $\Sigma \neq \emptyset$  then let  $\bar{\sigma} \in \delta \setminus A$  for some  $\delta \in \Sigma$  in
      |  $dlevel(\sigma) := \max(\{dlevel(\rho) \mid \rho \in \delta \setminus \{\bar{\sigma}\}\} \cup \{0\})$ 
      |  $A := A \circ \sigma$ 
  until  $\Sigma = \emptyset$ 
  if  $loop(P) = \emptyset$  then return (A,  $\nabla$ )
   $U := U \setminus A^F$ 
  if  $U = \emptyset$  then  $U := \text{UnfoundedSet}(P, A)$ 
  if  $U = \emptyset$  then return (A,  $\nabla$ ) // no unfounded set  $\emptyset \subset U \subseteq \text{atom}(P) \setminus A^F$ 
  let  $a \in U$  in
    |  $\nabla := \nabla \cup \{\{Ta\} \cup \{FB \mid B \in EB_P(U)\}\}$  // record loop nogood
  
```

Requirements for UnfoundedSet

- Implementations of UnfoundedSet must guarantee the following for a result U
 - 1 $U \subseteq (atom(P) \setminus A^F)$
 - 2 $EB_P(U) \subseteq A^F$
 - 3 $U = \emptyset$ iff there is no nonempty unfounded subset of $(atom(P) \setminus A^F)$

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 - 2 $EB_P(U) \subseteq A^F$
 - 3 $U = \emptyset$ iff there is no nonempty unfounded subset of $(atom(P) \setminus A^F)$
- Beyond that, there are various alternatives, such as:
 - Calculating the greatest unfounded set
 - Calculating unfounded sets within strongly connected components of the positive atom dependency graph of P
 - Usually, the latter option is implemented in ASP solvers

Example: NogoodPropagation

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Outline of ConflictAnalysis

- Conflict analysis is triggered whenever some nogood $\delta \in \Delta_P \cup \nabla$ becomes violated, viz. $\delta \subseteq A$, at a decision level $dl > 0$
 - Note that all but the first literal assigned at dl have been unit-resulting for nogoods $\varepsilon \in \Delta_P \cup \nabla$
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 - Iterated resolution progresses in inverse order of assignment
- Iterated resolution stops as soon as it generates a nogood δ containing exactly one literal σ assigned at decision level dl
 - This literal σ is called **First Unique Implication Point (First-UIP)**
 - All literals in $(\delta \setminus \{\sigma\})$ are assigned at decision levels smaller than dl

Algorithm 3: ConflictAnalysis

Input : A non-empty violated nogood δ , a normal program P , a set ∇ of nogoods, and an assignment A .

Output : A derived nogood and a decision level.

loop

```

  let  $\sigma \in \delta$  such that  $\delta \setminus A[\sigma] = \{\sigma\}$  in
     $k := \max(\{dlevel(\rho) \mid \rho \in \delta \setminus \{\sigma\}\} \cup \{0\})$ 
    if  $k = dlevel(\sigma)$  then
      let  $\varepsilon \in \Delta_P \cup \nabla$  such that  $\varepsilon \setminus A[\sigma] = \{\bar{\sigma}\}$  in
         $\delta := (\delta \setminus \{\sigma\}) \cup (\varepsilon \setminus \{\bar{\sigma}\})$  // resolution
      else return  $(\delta, k)$ 
  
```

Example: ConflictAnalysis

Consider

$$P = \left\{ \begin{array}{llll} x \leftarrow \text{not } y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \text{not } x, \text{not } y \\ y \leftarrow \text{not } x & u \leftarrow v & v \leftarrow u, y & \end{array} \right\}$$

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dl	σ_d	$\bar{\sigma}$	δ
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dl	σ_d	$\bar{\sigma}$	δ
1	Tu	Tx \vdots Tv Fy Fw	$\{Tu, Fx\} \in \nabla$ \vdots $\{Fv, T\{x\}\} \in \Delta(v)$ $\{Ty, F\{\text{not } x\}\} = \delta(y)$ $\{Tw, F\{\text{not } x, \text{not } y\}\} = \delta(w)$

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 - Such a nogood δ is called **asserting**
- Asserting nogoods direct conflict-driven search into a different region of the search space than traversed before, without explicitly flipping any heuristically chosen literal !

References



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- See also: <http://potassco.sourceforge.net>