

# Lecture 5: Automated Reasoning

- Unification
- Linear Resolution
- Linear Resolution with Negation

# Legal Play Requires Automated Reasoning

Given:

```
true(cell(1,1,b))
```

```
⋮
```

```
true(cell(3,3,b))
```

```
true(control(xplayer))
```

```
legal(P,mark(X,Y)) <= true(cell(X,Y,b)) ∧ true(control(P))
```

```
legal(xplayer,noop) <= true(cell(X,Y,b)) ∧ true(control(oplayer))
```

```
legal(oplayer,noop) <= true(cell(X,Y,b)) ∧ true(control(xplayer))
```

What can be derived for a **query** like e.g. `legal(P,M)`?

# Warning: Prolog may be Incorrect

Given:

```
role(red)
```

```
role(green)
```

```
role(blue)
```

```
true(protected(red))
```

```
legal(P,capture(Q)) <=  $\neg$ true(protected(Q))  $\wedge$   
role(P)  $\wedge$  role(Q)  $\wedge$  distinct(P,Q)
```

Prolog:

```
?- legal(red,M)
```

```
no
```

# Substitutions

A *substitution* is a finite set of pairs of variables and terms, called *replacements*.

$$\{X \mapsto a, Y \mapsto f(b), V \mapsto W\}$$

The result of applying a substitution  $\sigma$  to an expression  $\varphi$  is the expression  $\varphi\sigma$  obtained from  $\varphi$  by replacing every occurrence of every variable in the substitution by its replacement.

$$p(X, X, Y, Z) \{X \mapsto a, Y \mapsto f(b), V \mapsto W\} = p(a, a, f(b), Z)$$

# Unification

A substitution  $\sigma$  is a *unifier* for an expression  $\varphi$  and an expression  $\psi$  if and only if  $\varphi\sigma = \psi\sigma$ .

$\text{move}(X, Y) \{X \mapsto a, Y \mapsto b, V \mapsto b\} = \text{move}(a, b)$

$\text{move}(a, V) \{X \mapsto a, Y \mapsto b, V \mapsto b\} = \text{move}(a, b)$

If two expressions have a unifier, they are said to be *unifiable*.

$\text{move}(X, X)$  and  $\text{move}(a, b)$  not unifiable

# Non-Uniqueness of Unification

**Unifier 1:**

$$\begin{aligned} \text{move}(X, Y) \{ X \mapsto a, Y \mapsto b, V \mapsto b \} &= \text{move}(a, b) \\ \text{move}(a, V) \{ X \mapsto a, Y \mapsto b, V \mapsto b \} &= \text{move}(a, b) \end{aligned}$$

**Unifier 2:**

$$\begin{aligned} \text{move}(X, Y) \{ X \mapsto a, Y \mapsto f(W), V \mapsto f(W) \} &= \text{move}(a, f(W)) \\ \text{move}(a, V) \{ X \mapsto a, Y \mapsto f(W), V \mapsto f(W) \} &= \text{move}(a, f(W)) \end{aligned}$$

**Unifier 3:**

$$\begin{aligned} \text{move}(X, Y) \{ X \mapsto a, Y \mapsto V \} &= \text{move}(a, V) \\ \text{move}(a, V) \{ X \mapsto a, Y \mapsto V \} &= \text{move}(a, V) \end{aligned}$$

# Most General Unifier

A substitution  $\sigma$  is *more general* than a substitution  $\theta$  if and only if there is a substitution  $\tau$  such that  $\sigma \circ \tau = \theta$ .

A substitution  $\sigma$  is a *most general unifier (mgu)* of two expressions if and only if it is more general than any other unifier.

Theorem: If two expressions are unifiable, then they have an mgu that is unique up to variable permutation.

$$\text{move}(X, Y) \{X \mapsto a, Y \mapsto V\} = \text{move}(a, V)$$

$$\text{move}(a, V) \{X \mapsto a, Y \mapsto V\} = \text{move}(a, V)$$

$$\text{move}(X, Y) \{X \mapsto a, V \mapsto Y\} = \text{move}(a, Y)$$

$$\text{move}(a, V) \{X \mapsto a, V \mapsto Y\} = \text{move}(a, Y)$$

# Unification (1)

Terms encoded as lists:  $[f, [g, x], c]$  means  $f(g(x), c)$

```
function mgu(x,y, $\sigma$ )  
begin  
  if  $x=y$  then return  $\sigma$ ;  
  if is_variable(x) then return mguvar(x,y, $\sigma$ );  
  if is_variable(y) then return mguvar(y,x, $\sigma$ );  
  if not is_list(x) then  
    if is_list(y) or  $x \neq y$  then return fail  
    else return  $\sigma$ ;  
  if not is_list(y) then return fail;  
   $\sigma := mgu(head(x), head(y), \sigma)$ ;  
  if  $\sigma=fail$  then return fail  
  else return mgu(tail(x),tail(y), $\sigma$ )  
end
```



## Unification (2 – Straightforward but Incorrect)

```
function mgubar( $x, y, \sigma$ )  
begin  
  if  $x \mapsto t \in \sigma$  then return mgubar( $t, y, \sigma$ )  
  else return  $\{x \mapsto y\} \cup \sigma$   
end
```

# Problem: The Occur Check

`trajectory(X,X) <= ...`

Is this clause applicable to `trajectory(Y,neighbor-of(Y))` ?

Before assigning a variable to an expression, first check that the variable does not occur within that expression.

## Unification (2 – Corrected Version)

```
function mguvar(x,y, $\sigma$ )  
begin  
  if  $x \mapsto t \in \sigma$  then return mgu(t,y, $\sigma$ );  
  if mgucheck(x,y, $\sigma$ ) then return fail  
  else return  $\{x \mapsto y\} \cup \sigma$   
end
```

## Unification (3)

```
function mgucheck(x,y, $\sigma$ )  
begin  
  if  $x=y$  then return true;  
  if is_variable(y) then  
    if  $y \mapsto t \in \sigma$  then return mgucheck(x,t, $\sigma$ )  
    else return false;  
  if not is_list(y) or  $y = [ ]$  then return false;  
  if mgucheck(x,head(y), $\sigma$ ) then return true  
  else return mgucheck(x,tail(y), $\sigma$ )  
end
```

# Example (1)

**Call:**  $mgu([move, X, b], [move, a, Y], \{\})$

**Call:**  $mgu(move, move, \{\})$

**Exit:**  $\{\}$

**Call:**  $mgu(X, a, \{\})$

**Exit:**  $\{X \mapsto a\}$

**Call:**  $mgu(b, Y, \{X \mapsto a\})$

**Exit:**  $\{Y \mapsto b, X \mapsto a\}$

**Exit:**  $\{Y \mapsto b, X \mapsto a\}$

## Example (2)

**Call:**  $mgu([move, X, X], [move, a, b], \{\})$

**Call:**  $mgu(move, move, \{\})$

**Exit:**  $\{\}$

**Call:**  $mgu(X, a, \{\})$

**Exit:**  $\{X \mapsto a\}$

**Call:**  $mgu(X, b, \{X \mapsto a\})$

**Call:**  $mgu(a, b, \{X \mapsto a\})$

**Exit:** fail

**Exit:** fail

**Exit:** fail

## Example (3)

**Call:**  $mgu([move, [f, X], [f, X]], [move, Y, [f, a]], \{\})$

**Call:**  $mgu(move, move, \{\})$

**Exit:**  $\{\}$

**Call:**  $mgu([f, X], Y, \{\})$

**Exit:**  $\{Y \mapsto [f, X]\}$

**Call:**  $mgu([f, X], [f, a], \{Y \mapsto [f, X]\})$

**Call:**  $mgu(f, f, \{Y \mapsto [f, X]\})$

**Exit:**  $\{Y \mapsto [f, X]\}$

**Call:**  $mgu(X, a, \{Y \mapsto [f, X]\})$

**Exit:**  $\{X \mapsto a, Y \mapsto [f, X]\}$

**Exit:**  $\{X \mapsto a, Y \mapsto [f, X]\}$

**Exit:**  $\{X \mapsto a, Y \mapsto [f, X]\}$

(Note that *mgu* does not return a true unifier but a succinct representation thereof!)

## Example (4)

**Call:**  $mgu([move, X, X], [move, Y, [f, Y]], \{\})$

**Call:**  $mgu(move, move, \{\})$

**Exit:**  $\{\}$

**Call:**  $mgu(X, Y, \{\})$

**Exit:**  $\{X \mapsto Y\}$

**Call:**  $mgu(X, [f, Y], \{X \mapsto Y\})$

**Call:**  $mgu(Y, [f, Y], \{X \mapsto Y\})$

**Call:**  $mgucheck(Y, [f, Y], \{X \mapsto Y\})$

**Exit:** true

**Exit:** fail

**Exit:** fail

**Exit:** fail



# Linear Resolution Step

Given:

Query  $L_1 \wedge L_2 \wedge \dots \wedge L_m$  (without negation)

Clauses (without negation)

Let:

$A \leftarrow B_1 \wedge \dots \wedge B_n$  “fresh” variant of a clause

$\sigma$  mgu of  $L_1$  and  $A$

Then  $L_1 \wedge L_2 \wedge \dots \wedge L_m \rightarrow (B_1 \wedge \dots \wedge B_n \wedge L_2 \wedge \dots \wedge L_m)\sigma$

is a **linear resolution step**.

# Example

## Clauses:

```
true(cell(1,1,b))
```

```
⋮
```

```
true(cell(3,3,b))
```

```
true(control(xplayer))
```

```
legal(P,mark(X,Y)) <= true(cell(X,Y,b)) ∧ true(control(P))
```

```
legal(xplayer,noop) <= true(cell(X,Y,b)) ∧ true(control(oplayer))
```

```
legal(oplayer,noop) <= true(cell(X,Y,b)) ∧ true(control(xplayer))
```

## Query `legal(P,M)` has answer substitutions

```
{P ↦ xplayer, M ↦ mark(1,1)}, ..., {P ↦ xplayer, M ↦ mark(3,3)}
```

```
{P ↦ oplayer, M ↦ noop}
```

# Derivation

A sequence of resolution steps is called a **derivation**.

A **successful** derivation ends with the empty query.

The **answer substitution** (inferred by a successful derivation) is obtained by composing the mgu's  $\sigma_1 \circ \dots \circ \sigma_n$  of each step (and restricting the result to the variables in the original query).

A **failed** derivation ends with a query to which no clause applies.

# Specific Predicate $\text{distinct}(X,Y)$

Given:

Query  $\text{distinct}(t_1,t_2) \wedge L_2 \wedge \dots \wedge L_m$  (without negation)

Clauses (without negation)

Let:

$t_1$  and  $t_2$  variable-free and syntactically different

Then  $\text{distinct}(t_1,t_2) \wedge L_2 \wedge \dots \wedge L_m \rightarrow (L_2 \wedge \dots \wedge L_m)\sigma$  is a **linear resolution step**.

# May Need to Reorder Query Atoms

Clauses:

```
true(cell(1,1,x))
```

```
true(cell(1,2,b))
```

```
⋮
```

```
true(cell(3,3,b))
```

```
next(cell(M,N,W)) <= distinct(W,b) ∧ true(cell(M,N,W))
```

Query `next(F)` has answer substitution

```
{F ↦ cell(1,1,x)}
```

# Linear Resolution with Negation

Given:

Query  $L_1 \wedge L_2 \wedge \dots \wedge L_m$

Clauses

- If  $L_1$  is an atom, proceed as before
- If  $L_1$  is of the form  $\neg A$  and  $A$  is variable-free then
  - if all derivations for  $A$  **fail** then
$$L_1 \wedge L_2 \wedge \dots \wedge L_m \rightarrow L_2 \wedge \dots \wedge L_m$$
  - if there is a **successful** derivation for  $A$  then
$$L_1 \wedge L_2 \wedge \dots \wedge L_m \rightarrow \text{fail}$$

# May Need to Reorder Query Atoms

Clauses:

`role(red)`

`role(green)`

`role(blue)`

`true(protected(red))`

`legal(P,capture(Q)) <= ¬true(protected(Q)) ∧ role(P) ∧  
role(Q) ∧ distinct(P,Q)`

Query `legal(red,M)` has answer substitutions

`{M ↦ capture(green)}, {M ↦ capture(blue)}`

# Example

Clauses:

```
role(red)
```

```
role(blue)
```

```
role(green)
```

```
true(freecell(blue))
```

```
trapped(P) <= role(P)  $\wedge$   $\neg$ true(freecell(P))
```

```
goal(P,100) <= role(P)  $\wedge$   $\neg$ trapped(P)
```

Query `goal(P,100)` has the only computed answer

```
{P  $\rightarrow$  blue}
```



# Disjunction

A clause with a disjunction

$$A \leq B \wedge (C_1 \vee C_2) \wedge D$$

is logically equivalent to the conjunction of the clauses

$$A \leq B \wedge C_1 \wedge D$$

$$A \leq B \wedge C_2 \wedge D$$

# Example

Clauses:

`true(p)`

`does(robot,b)`

`next(p) <= does(robot,a)  $\wedge$   $\neg$ true(p)  
                   $\vee$  does(robot,b)  $\wedge$  true(q)`

`next(q) <= does(robot,a)  $\wedge$  true(q)  
                   $\vee$  does(robot,b)  $\wedge$  true(p)`

Query `next(Fluent)` has the only answer substitution

`{Fluent  $\mapsto$  q}`

# Computing Answers

**function** *prove* (*query*,*clauses*)

*/\* return true if query has a successful derivation wrt. clauses  
else return false \*/*

**function** *findone* (*x*,*query*,*clauses*)

*/\* return computed answer for expression x of a successful derivation for query  
wrt. clauses \*/*

**function** *findall* (*x*,*query*,*clauses*)

*/\* return the list of all answers for expression x for query wrt. clauses \*/*

# Example

Clauses:

```
true(cell(1,1,b))
```

```
⋮
```

```
true(cell(3,3,b))
```

```
true(control(xplayer))
```

```
legal(P,mark(X,Y)) <= true(cell(X,Y,b)) ∧ true(control(P))
```

```
legal(xplayer,noop) <= true(cell(X,Y,b)) ∧ true(control(oplayer))
```

```
legal(oplayer,noop) <= true(cell(X,Y,b)) ∧ true(control(xplayer))
```

*findall* (M,legal(xplayer,M),**Clauses**) returns

```
[mark(1,1), ..., mark(3,3)]
```

# Play this Game!

```
succ(1,2) succ(2,3) ... succ(7,8)
init(cell(1,1))
init(cell(Y,1)) <= succ(X,Y) ∧ init(cell(X,1))
init(step(1))

next(step(Y)) <= true(step(X)) ∧ succ(X,Y)
next(cell(X,0)) <= does(you,jump(X,Y))
next(cell(Y,2)) <= does(you(jump(X,Y))
next(cell(X,C)) <= does(you,jump(Y,Z)) ∧
    true(cell(X,C)) ∧
    distinct(X,Y) ∧ distinct(X,Z)

terminal <= ¬continuable
continuable <= legal(you,M)
goal(you,100) <= true(step(5))
goal(you,0) <= true(cell(X,1))

legal(you,jump(X,Y)) <=
    true(cell(X,1)) ∧ true(cell(Y,1)) ∧
    ( obama(X,Y) ∨ obama(Y,X) )

clinton(X,Y) <= succ(X,Y)
clinton(X,Y) <= succ(X,Z) ∧ true(cell(Z,0))
    ∧ clinton(Z,Y)
bush(X,Y) <= succ(X,Z) ∧ true(cell(Z,0))
    ∧ bush(Z,Y)
bush(X,Y) <= succ(X,Z) ∧ true(cell(Z,1))
    ∧ clinton(Z,Y)
obama(X,Y) <= succ(X,Z) ∧ true(cell(Z,0))
    ∧ obama(Z,Y)
obama(X,Y) <= succ(X,Z) ∧ true(cell(Z,1))
    ∧ bush(Z,Y)
obama(X,Y) <= succ(X,Z) ∧ true(cell(Z,2))
    ∧ clinton(Z,Y)
```

# Schedule

<b>Lectures</b>		<b>Tutorials</b>
Oct, 13 <sup>th</sup> , 2008	Introduction	Oct, 20 <sup>th</sup> , 2008
Oct, 27 <sup>th</sup> , 2008	Game Description Language	Nov, 3 <sup>rd</sup> , 2008
Nov, 10 <sup>th</sup> , 2008	State-Space Search and Planning	Nov, 17 <sup>th</sup> , 2008
Nov, 24 <sup>th</sup> , 2008	Incomplete Information	Dec, 1 <sup>st</sup> , 2008
Dec, 8 <sup>th</sup> , 2008	Automated Reasoning	Dec, 15 <sup>th</sup> , 2008
Jan, 5 <sup>th</sup> , 2009	Metagaming	Jan, 12 <sup>th</sup> , 2009
Jan, 26 <sup>th</sup> , 2009	Game Theory	Feb, 2 <sup>nd</sup> , 2009
End of March	Competition	