

# Chapter 8

## Termination of Programs

# Outline

- Level mappings
- Generally terminating programs: Recurrent programs
- Left terminating programs: Acceptable programs

# Does this Program Terminate?

```
wine(riesling, chicken).  
wine(riesling, veal).  
wine(kerner, veal).
```

```
diff(riesling, kerner).  
diff(kerner, riesling).
```

```
interchangeable(X, Y) :- wine(X, Z), wine(Y, Z), diff(X, Y).
```

# Do these two Terminate?

```
edge(a, b).  
edge(b, c).  
edge(d, e).  
path(X, Y) :- edge(X, Y).  
path(X, Y) :- edge(X, Z), path(Z, Y).
```

```
arc(a, b).  
arc(b, c).  
arc(d, e).  
connected(X, Y) :- arc(X, Y).  
connected(X, Y) :- connected(X, Z), arc(Z, Y).
```

## And this one?

```
edge(a, b).
```

```
edge(b, c).
```

```
edge(d, e).
```

```
edge(c, a).
```

```
path(X, Y) :- edge(X, Y).
```

```
path(X, Y) :- edge(X, Z), path(Z, Y).
```

# What About this one?

```
edge(a, b).
```

```
edge(b, c).
```

```
edge(d, e).
```

```
edge(c, a).
```

```
dpath(X, Y, _) :- edge(X, Y).
```

```
dpath(X, Y, Depth) :-
```

```
    Depth > 0,
```

```
    edge(X, Z),
```

```
    Depth1 is Depth - 1,
```

```
    dpath(Z, Y, Depth1).
```

```
path(X, Y) :- dpath(X, Y, 10).
```

## A Difficult one ...

```
jump(1).
```

```
jump(N) :-
```

```
    N > 1, N mod 2 === 1, N1 is 3*N + 1, jump(N1).
```

```
jump(N) :-
```

```
    N > 1, N mod 2 === 0, N1 is N // 2, jump(N1).
```

# Termination May Depend on the Query

```
app([], X, X).  
app([X|Y], Z, [X|U]) :- app(Y, Z, U).
```

The query `app([a,b], Y, Z)` terminates.

The query `app(X, Y, [c,d])` terminates.

The query `app(X, [e,f], Z)` does not terminate.

How can we prove that certain programs and queries terminate?



# General vs. PROLOG Termination

```
app([], X, X).
```

```
app([X|Y], Z, [X|U]) :- app(Y, Z, U).
```

```
app3(X, Y, Z, U) :- app(X, Y, V), app(V, Z, U).
```

Query `app3([a], [b], [c], U)` has an infinite SLD-derivation.

However, PROLOG terminates.

# Multisets

**multiset** (written  $bag(a_1, \dots, a_n)$ )

$:\Leftrightarrow$

unordered sequence  $a_1, \dots, a_n$

$\prec$  (on finite multisets of natural numbers)

$:\Leftrightarrow$

$X \prec Y$  iff  $X = (Y - bag(a)) \cup Z$

for some  $a \in Y$  and  $Z$  such that  $\forall b \in Z. b < a$

We write  $old(X, Y) :\Leftrightarrow a$  and  $new(X, Y) :\Leftrightarrow Z$ .

**Note:**  $\prec$  is irreflexive and antisymmetric

# Multiset Ordering

**transitive closure** of a relation  $R$  on a set  $\mathcal{A}$

$:\Leftrightarrow$

smallest transitive relation on  $\mathcal{A}$  that contains  $R$

multiset ordering  $(\prec_m) :\Leftrightarrow$  transitive closure of  $\prec$

## Theorem 6.4

The multiset ordering  $\prec_m$  is well-founded.

# Two Helpful Observations

## Lemma 6.2

An infinite, finitely branching tree has an infinite branch.

## Note 6.3

An irreflexive, antisymmetric relation is well-founded iff its transitive closure is well-founded.

Thus finiteness of an SLD-tree (hence, termination) can be proved by finding a suitable multiset assignment for queries.

# Level Mappings

**level mapping** for program  $P$   $:\Leftrightarrow$  function  $|\cdot| : HB_P \mapsto \mathbb{N}$

level of ground atom  $A$   $:\Leftrightarrow |A|$

clause  $c$  **recurrent** w.r.t.  $|\cdot|$

$:\Leftrightarrow$

for every ground instance  $A \leftarrow \underline{B}$  of  $c$  and every  $B \in \underline{B}$ :

$$|A| > |B|$$

program  $P$  **recurrent**  $:\Leftrightarrow$  for some level mapping  $|\cdot|$ ,

each  $c \in P$  is recurrent w.r.t.  $|\cdot|$

## Example (I)

```
member(x, [x|y]) ←  
member(x, [y|z]) ← member(x, z)
```

With  $| \textit{member}(s, t) | \Leftrightarrow$  “listsize” of  $t$ , the clauses are recurrent.

```
subset([x|y], z) ← member(x, z), subset(y, z)  
subset([], x) ←
```

Define  $| \textit{subset}(s, t) | \Leftrightarrow \textit{listsize}(s) + \textit{listsize}(t)$ .

This shows that the entire program is recurrent.

Incidentally, the program always terminates for ground queries.

## Example (II)

```
app([ ], x, x) ←  
app([x|y], z, [x|u]) ← app(y, z, u)  
rev([ ], [ ]) ←  
rev([x|y], z) ← rev(y, u), app(u, [x], z)
```

This program is not recurrent.

Incidentally, it does not always terminate for ground queries.

$$\begin{aligned} \underline{rev([a, b], c)} &\implies rev([b], u_1), app(u_1, [a], c) \\ &\implies rev([ ], u_2), app(u_2, [b], u_1), app(u_1, [a], c) \\ &\implies rev([ ], u_2), app(y_3, [b], u_3), app(u_1, [a], c) \\ &\implies \dots \end{aligned}$$

# Bounded Queries

atom  $A$  **bounded** w.r.t.  $||$

$:\Leftrightarrow$  for some  $k \in \mathbb{N}$  we have  $|A'| \leq k$  for all  $A' \in \text{ground}(A)$

**level**  $|A|$  of bounded atom  $A : \Leftrightarrow \max\{|A'| \mid A' \in \text{ground}(A)\}$

query bounded w.r.t.  $|| : \Leftrightarrow$  all its atoms are bounded w.r.t.  $||$

query  $A_1, \dots, A_n$  bounded by  $k : \Leftrightarrow |A_i| \leq k$  for  $i = 1, \dots, n$

**level**  $|Q|$  of bounded query  $Q = A_1, \dots, A_n$

$:\Leftrightarrow \text{bag}(|A_1|, \dots, |A_n|)$



# Boundedness Lemma for Recurrent Programs

## Lemma 6.8

Let  $P$  be a recurrent (w.r.t.  $||$ ) program. If  $Q_1$  is a query bounded w.r.t.  $||$  and  $Q_2$  an SLD-resolvent of  $Q_1$ , then

- $Q_2$  is bounded w.r.t.  $||$
- $|Q_2| \prec_m |Q_1|$

Proof:

1. Any instance  $Q'$  of  $Q$  is bounded and satisfies  $|Q'| \preceq_m |Q|$ .
2. An instance of a recurrent clause is recurrent.
3. For every recurrent  $H \leftarrow \underline{B}$  and every bounded  $\underline{A}, H, \underline{C}$ ,  $\underline{A}, \underline{B}, \underline{C}$  is bounded and satisfies  $|\underline{A}, \underline{B}, \underline{C}| \prec_m |\underline{A}, H, \underline{C}|$ .

# Finiteness for Recurrent Programs

## Corollary 6.9

Let  $P$  be a recurrent program and  $Q$  a bounded query.  
Then all SLD-derivations of  $P \cup \{Q\}$  are finite.

# Verifying Termination

**listsize** of a term  $t$  ( $|t|$ )

$:\Leftrightarrow$

$$|[s|t]| = |t| + 1$$

$$|f(t_1, \dots, t_n)| = 0 \text{ if } f \neq [\cdot|\cdot]$$

$list([ ]) \leftarrow$   
 $list([x|y]) \leftarrow list(y)$

Defining  $|list(t)| :\Leftrightarrow |t|$

shows that this program is recurrent,  
hence always terminating for bounded queries.

# Importance of Choice of Level Mapping

$$\begin{array}{l} app([], x, x) \leftarrow \\ app([x|y], z, [x|u]) \leftarrow app(y, z, u) \end{array}$$

These clauses are recurrent w.r.t.  $|app(x, y, z)|_1 \Leftrightarrow |x|$   
and also w.r.t.  $|app(x, y, z)|_2 \Leftrightarrow |z|$ .

In each case we obtain different bounded queries.

E.g.,  $app([a, b], y, z)$  is bounded w.r.t.  $| \cdot |_1$  but not w.r.t.  $| \cdot |_2$

$app(x, y, [c, d])$  is bounded w.r.t.  $| \cdot |_2$  but not w.r.t.  $| \cdot |_1$

Both these queries are bounded w.r.t.

$$|app(x, y, z)|_3 \Leftrightarrow \min(|x|, |z|)$$

## Limitations: General SLD vs. Prolog (I)

```
edge(a, b).  
edge(b, c).  
edge(d, e).  
path(X, Y) :- edge(X, Y).  
path(X, Y) :- edge(X, Z), path(Z, Y).
```

```
arc(a, b).  
arc(b, c).  
arc(d, e).  
connected(X, Y) :- arc(X, Y).  
connected(X, Y) :- connected(X, Z), arc(Z, Y).
```

Neither program is recurrent.

However, all **LD**-derivations for the **first** program are finite.

## Limitations: General SLD vs. Prolog (II)

```
app([ ], x, x) ←  
app([x|y], z, [x|u]) ← app(y, z, u)  
app3(x, y, z, u) ← app(x, y, v), app(v, z, u)
```

$$|app(x, y, z)| :\Leftrightarrow \min(|x|, |z|)$$

$$|app3(x, y, z, u)| :\Leftrightarrow |x| + |u| + 1$$

shows that the program is recurrent.

But  $app3([a], [b], [c], u)$  is not bounded w.r.t.  $||$  and indeed has an infinite derivation.

However, all LD-derivations of  $P \cup \{app3([a], [b], [c], u)\}$  are finite.

# Acceptable Programs

clause  $c$  **acceptable** w.r.t. level mapping  $||$  and interpretation  $I$

$:\Leftrightarrow$

$I$  model of  $c$ ,

for every ground instance  $A \leftarrow \underline{A}, B, \underline{B}$  of  $c$  and every  $B$  such that  $I \models \underline{A}$ :  
 $|A| > |B|$

program  $P$  **acceptable** (w.r.t.  $||$  and  $I$ )

$:\Leftrightarrow$  for some level mapping  $||$  and interpretation  $I$ , each  $c \in P$  is acceptable  
w.r.t.  $||$  and  $I$

## Example (I)

```
app([ ], x, x) ←  
app([x|y], z, [x|u]) ← app(y, z, u)  
rev([ ], [ ]) ←  
rev([x|y], z) ← rev(y, u), app(u, [x], z)
```

$$|app(x, y, z)| :\Leftrightarrow \min(|x|, |z|)$$

$$|rev(x, y)| :\Leftrightarrow |x|$$

$$I :\Leftrightarrow \{app(x, y, z) \mid |x| + |y| = |z|\} \\ \cup \{rev(x, y) \mid |x| = |y|\}$$

shows that the program is acceptable.



## Example (II)

```
app([ ], x, x) ←  
app([x|y], z, [x|u]) ← app(y, z, u)  
app3(x, y, z, u) ← app(x, y, v), app(v, z, u)
```

$$|app(x, y, z)| :\Leftrightarrow |x|$$

$$|app3(x, y, z, u)| :\Leftrightarrow |x| + |y| + 1$$

$$I :\Leftrightarrow \{app(x, y, z) \mid |x| + |y| = |z|\} \\ \cup \mathit{ground}(app3(x, y, z, u))$$

shows that the program is acceptable.

# Acceptability vs. Recurrence

## Note 6.21

A program is recurrent w.r.t.  $\|\cdot\|$   
iff it is acceptable w.r.t.  $\|\cdot\|$  and  $HB$ .

# An Extended Notion of Boundedness (I)

Let  $||$  be a level mapping,  $I$  an interpretation,  $k \in \mathbb{N}$ .

query  $Q$  **bounded by  $k$  w.r.t.  $||$  and  $I$**

$:\Leftrightarrow$

for every ground instance  $\underline{A}$ ,  $B$ ,  $\underline{B}$  of  $Q$  such that  $I \models \underline{A}$ ,  
 $|B| \leq k$

query  $Q$  bounded w.r.t.  $||$  and  $I$

$:\Leftrightarrow Q$  bounded by some  $k$  w.r.t.  $||$  and  $I$

# Example

```
app([], x, x) ←  
app([x|y], z, [x|u]) ← app(y, z, u)  
app3(x, y, z, u) ← app(x, y, v), app(v, z, u)
```

$$|app(x, y, z)| :\Leftrightarrow |x|$$

$$|app3(x, y, z, u)| :\Leftrightarrow |x| + |y| + 1$$

$$I :\Leftrightarrow \{app(x, y, z) \mid |x| + |y| = |z|\} \\ \cup \mathit{ground}(app3(x, y, z, u))$$

The program is acceptable (w.r.t.  $||$  and  $I$ ),  
and  $app3([a], [b], [c], u)$  is bounded (by  $k = 3$ ) w.r.t.  $||$  and  $I$ .

# A Notational Convention

**max**:  $\mathcal{P}(\mathbb{N}) \mapsto \mathbb{N} \cup \{\omega\}$  with

$$\mathbf{max} S: \Leftrightarrow \begin{cases} 0 & \text{if } S = \emptyset \\ n & \text{if } S \text{ is finite but not empty and with maximum } n \\ \omega & \text{if } S \text{ is infinite} \end{cases}$$

## An Extended Notion of Boundedness (II)

Let  $Q$  be a query consisting of  $n \geq 1$  atoms.

Then for every  $i = 1, \dots, n$  and every interpretation  $I$ ,

$$|Q|_i^I : \Leftrightarrow \{ |A_i| : A_1, \dots, A_n \text{ ground instance of } Q \\ I \models A_1, \dots, A_{i-1} \}$$

If  $Q$  is bounded w.r.t. some  $||$  and  $I$ , then

$$|Q|_I : \Leftrightarrow \text{bag}(\max |Q|_1^I, \dots, \max |Q|_n^I)$$

# Example

$$\begin{aligned} &app([], x, x) \leftarrow \\ &app([x|y], z, [x|u]) \leftarrow app(y, z, u) \\ &app3(x, y, z, u) \leftarrow app(x, y, v), app(v, z, u) \end{aligned}$$

$$|app(x, y, z)| \Leftrightarrow |x|$$

$$|app3(x, y, z, u)| \Leftrightarrow |x| + |y| + 1$$

$$\begin{aligned} I \Leftrightarrow &\{app(x, y, z) \mid |x| + |y| = |z|\} \\ &\cup \mathit{ground}(app3(x, y, z, u)) \end{aligned}$$

$$|app3([a], [b], [c], u)|_I = bag(3)$$

$$|app([a], [b], v_1), app(v_1, [c], u)|_I = bag(1, 2)$$

# Boundedness Lemma for Acceptable Programs

## Lemma 6.23

Let  $P$  be an acceptable (w.r.t.  $||$  and  $I$ ) program. If  $Q_1$  is a query bounded w.r.t.  $||$  and  $I$ , and if  $Q_2$  is an LD-resolvent of  $Q_1$ , then

- $Q_2$  is bounded w.r.t.  $||$  and  $I$
- $|Q_2|_I \prec_m |Q_1|_I$

Proof:

1. Any instance  $Q'$  of  $Q$  is bounded and satisfies  $|Q'|_I \preceq_m |Q|_I$ .
2. An instance of an acceptable clause is acceptable.
3. For every acceptable  $A \leftarrow \underline{B}$  and every bounded  $A, \underline{C}$ ,  $\underline{B}, \underline{C}$  is bounded and satisfies  $|\underline{B}, \underline{C}|_I \prec_m |A, \underline{C}|_I$ .

(See the book on page 161.)



# Finiteness for Acceptable Programs

## Corollary 6.24

Let  $P$  be an acceptable program and  $Q$  a bounded query.  
Then all LD-derivations of  $P \cup \{Q\}$  are finite.

# Application

```
app([], x, x) ←  
app([x|y], z, [x|u]) ← app(y, z, u)  
perm([], []) ←  
perm(x, [y|z]) ← app(u, [y|v], x), app(u, v, w), perm(w, z)
```

$$|app(x, y, z)| : \Leftrightarrow \min(|x|, |z|)$$

$$|perm(x, y)| : \Leftrightarrow |x| + 1$$

$$I : \Leftrightarrow \{app(x, y, z) \mid |x| + |y| = |z|\}$$

$$\cup \mathit{ground}(perm(x, y))$$

This shows that the program is acceptable.

# Objectives

- Level mappings
- Generally terminating programs: Recurrent programs
- Left terminating programs: Acceptable programs