

# Deciding the Twins Property for Weighted Tree Automata over Extremal Semifields

Matthias BÜchse    Anja Fischer



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2012-04-24

# Outline

Twins Property in SMT

Deciding the Twins Property

Conclusion

# Outline

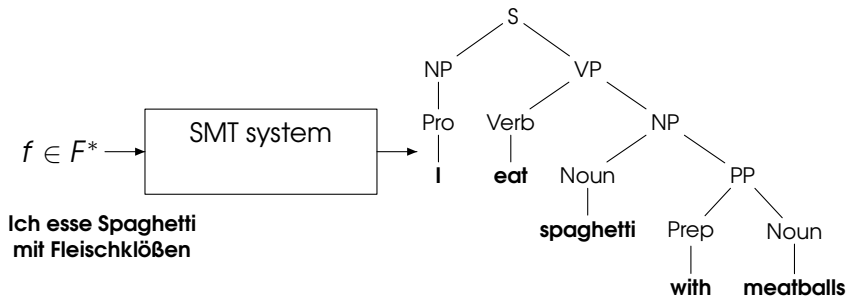
Twins Property in SMT

Deciding the Twins Property

Conclusion

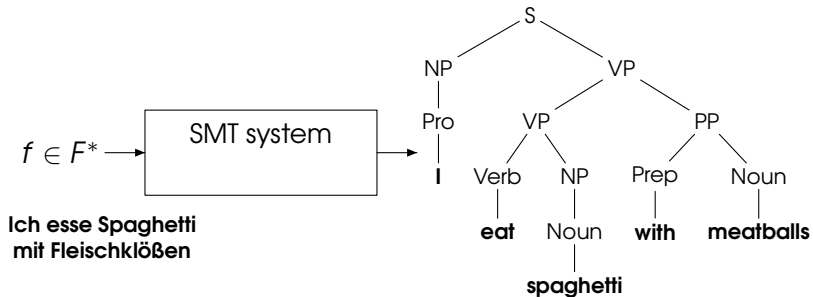
# Ambiguity in SMT

(May and Knight 2006)



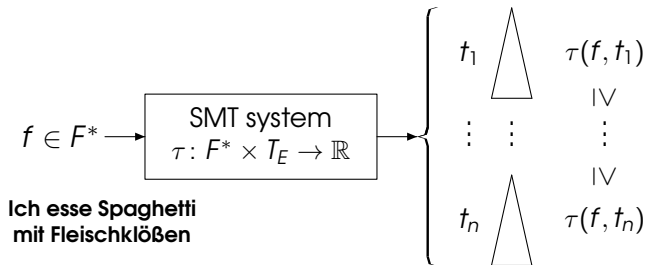
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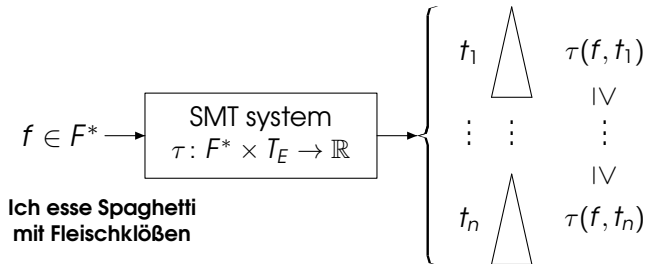
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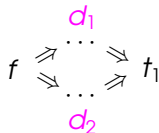


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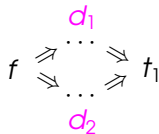
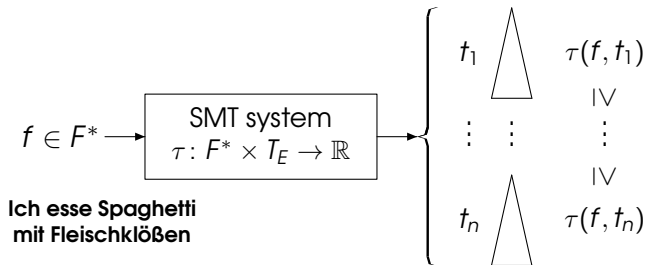
Ich esse Spaghetti  
mit Fleischklößen



$$\tau(f, t_1) = \sum_{d: \pi(d)=t_1} \text{wt}(d)$$

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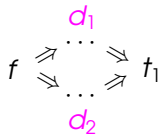
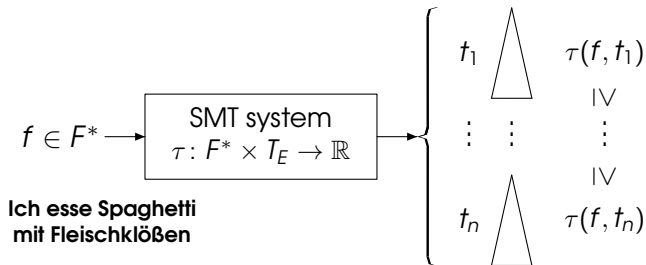
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spurious ambiguity



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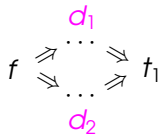
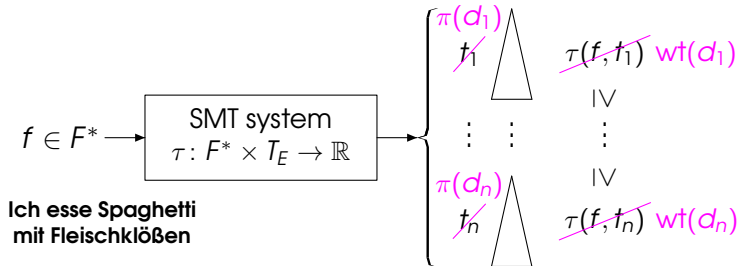
$$\tau(f, t_1) = \sum_{d: \pi(d)=t_1} \text{wt}(d)$$

spurious ambiguity  
translation: NP hard

(Casacuberta and de la Higuera 2000)

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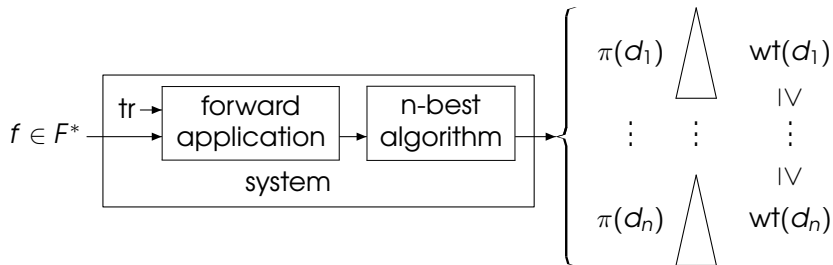
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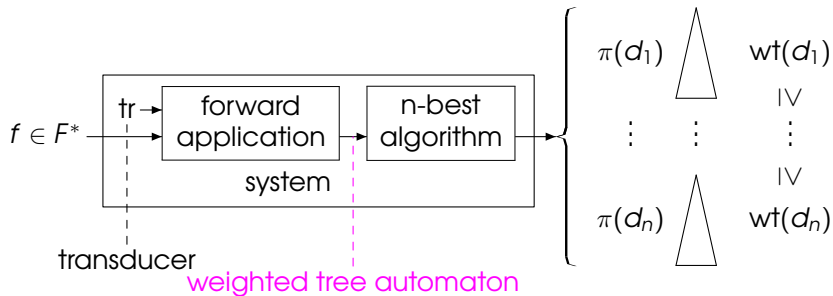
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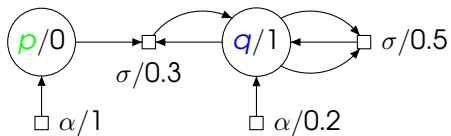
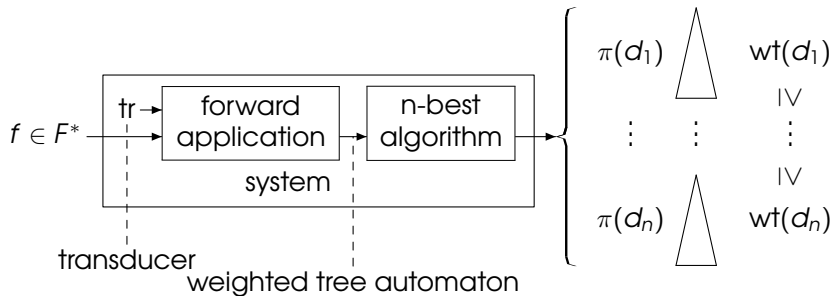
# Weighted Tree Automata in SMT



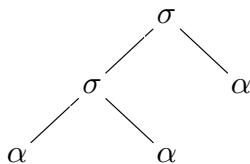
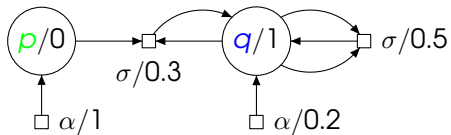
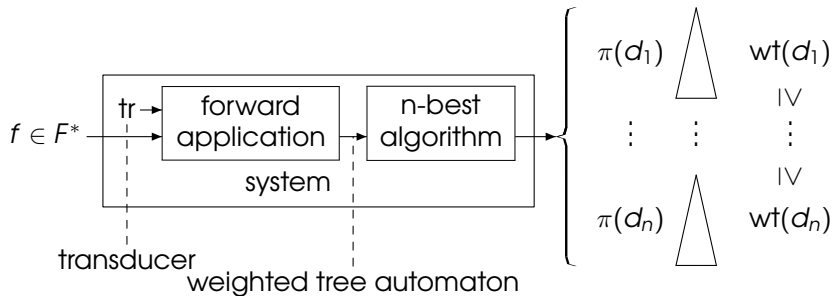
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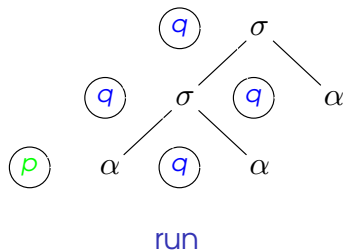
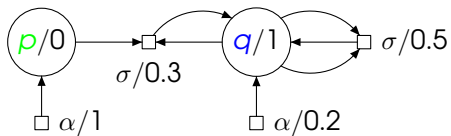
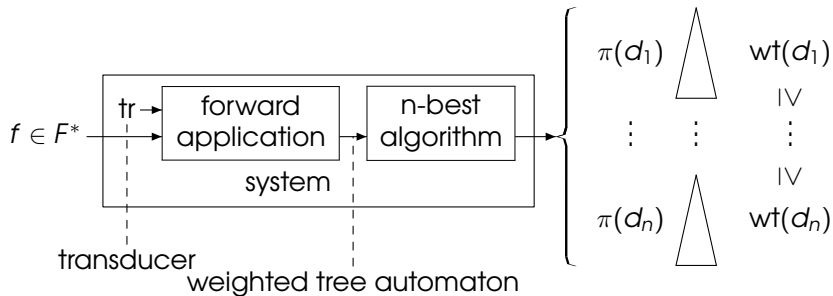
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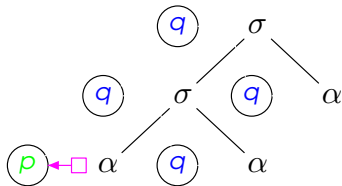
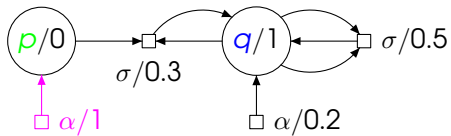
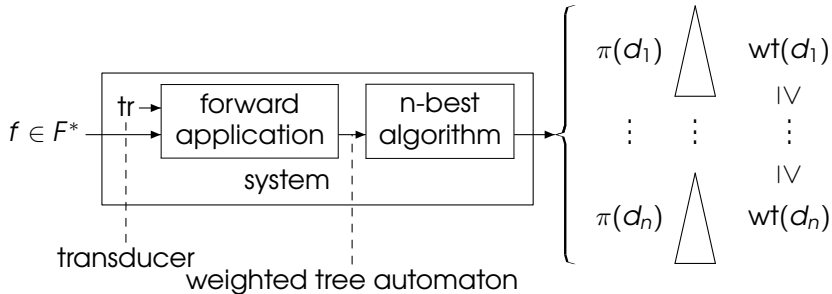
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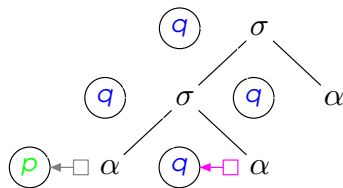
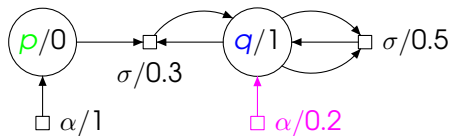
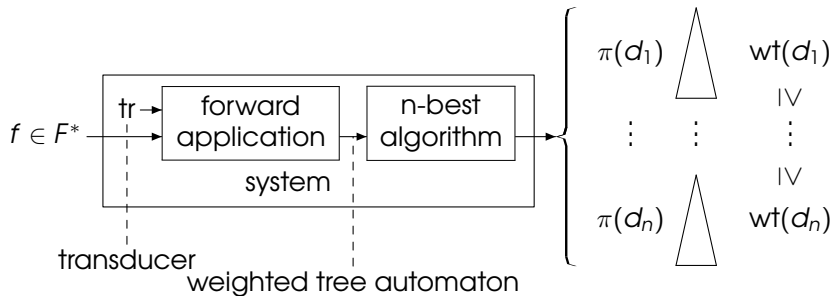
# Weighted Tree Automata in SMT



1

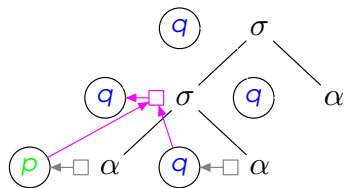
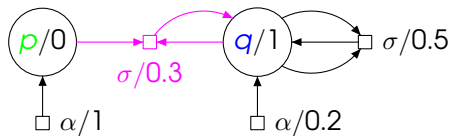
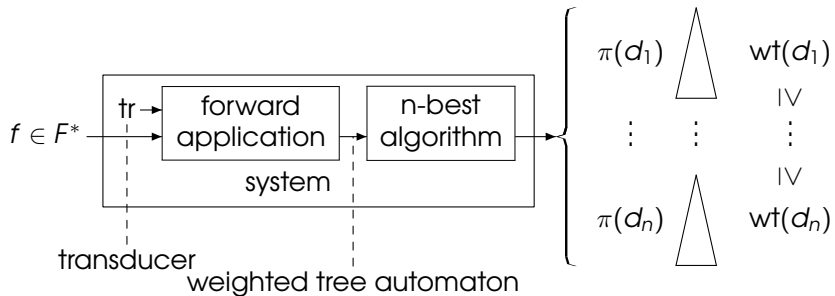


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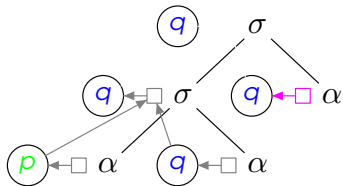
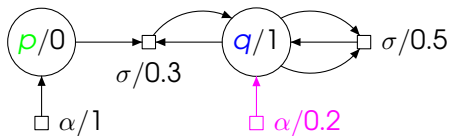
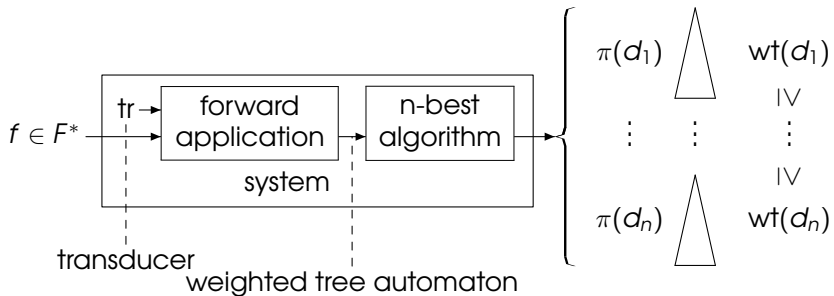
$$1 \cdot 0.2$$

# Weighted Tree Automata in SMT



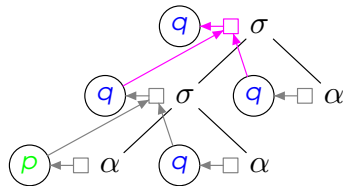
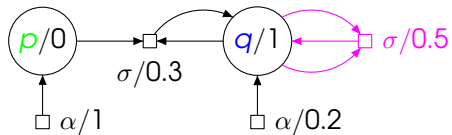
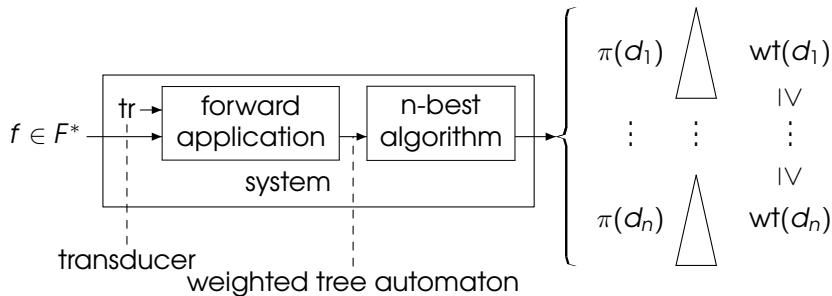
$$1 \cdot 0.2 \cdot 0.3$$

# Weighted Tree Automata in SMT



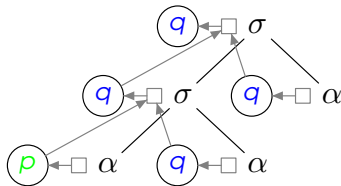
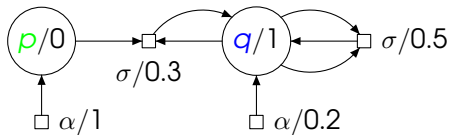
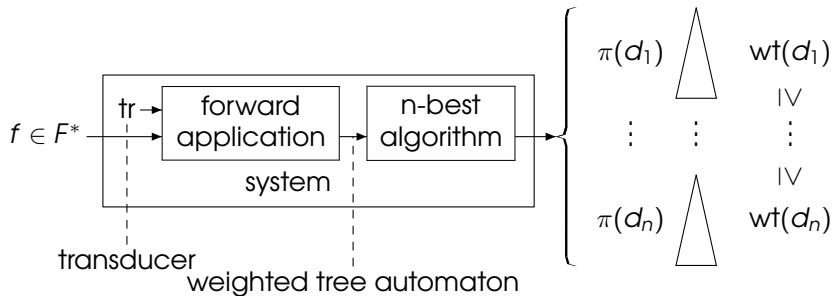
$$1 \cdot 0.2 \cdot 0.3 \cdot 0.2$$

# Weighted Tree Automata in SMT



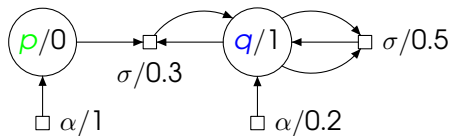
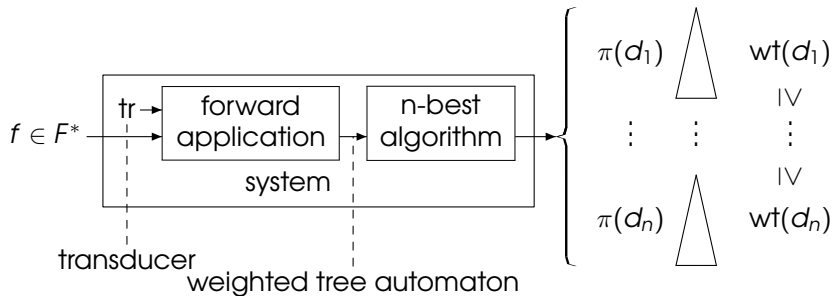
$$1 \cdot 0.2 \cdot 0.3 \cdot 0.2 \cdot 0.5$$

# Weighted Tree Automata in SMT

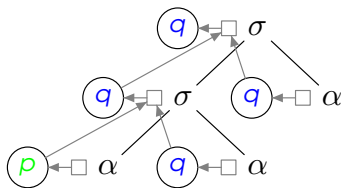


$$1 \cdot 0.2 \cdot 0.3 \cdot 0.2 \cdot 0.5$$

# Weighted Tree Automata in SMT

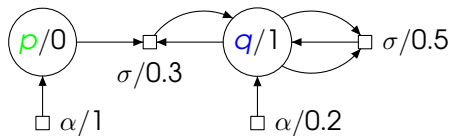
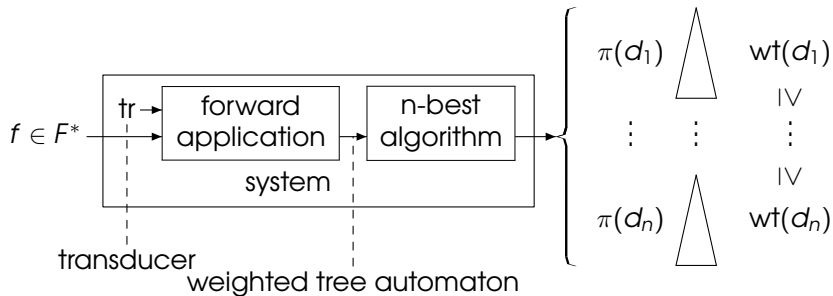


nondeterministic

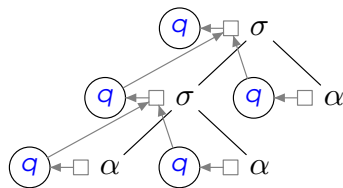


$1 \cdot 0.2 \cdot 0.3 \cdot 0.2 \cdot 0.5$

# Weighted Tree Automata in SMT

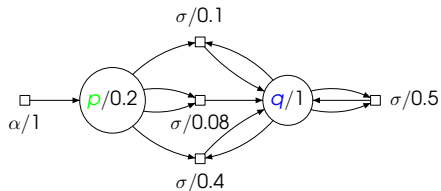
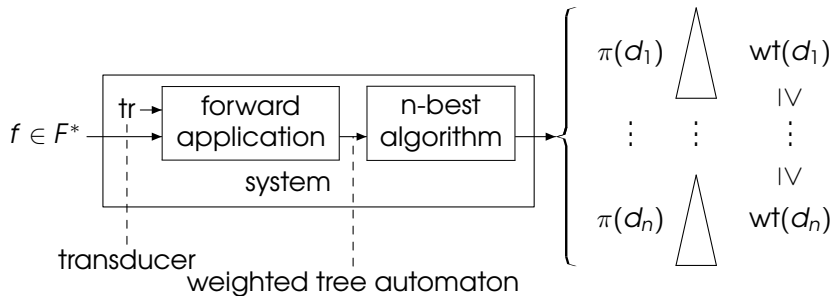


nondeterministic



$0.2 \cdot 0.2 \cdot 0.5 \cdot 0.2 \cdot 0.5$

# Weighted Tree Automata in SMT



deterministic

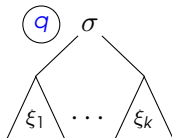
$$\text{wt}(d) = \tau(f, \pi(d))$$



# Excursion: Semantics

$(S, +, \cdot, 0, 1)$

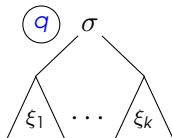
$\llbracket \xi \rrbracket_q \in S$       sum over runs



# Excursion: Semantics

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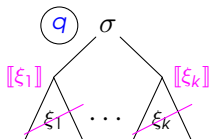
$[[\xi]]_q \in S$       sum over runs  
 $[[\xi]] \in S^Q$       weight vector



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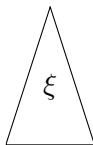
$$[[\xi]] = [[\sigma([[ \xi_1 ]], \dots, [ \xi_k ])]]$$

# Excursion: Semantics

$(S, +, \cdot, 0, 1)$

$$\begin{aligned} \llbracket \xi \rrbracket_q &\in S \\ \llbracket \xi \rrbracket &\in S^Q \end{aligned}$$

sum over runs  
weight vector

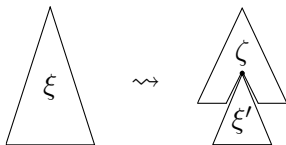


$\llbracket \xi \rrbracket$

# Excursion: Semantics

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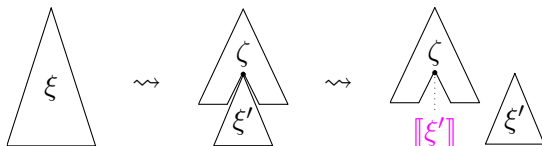


$$[[\xi]] = [[\xi' \cdot \zeta]]$$

# Excursion: Semantics

$(S, +, \cdot, 0, 1)$

$[[\xi]]_q \in S$       sum over runs  
 $[[\xi]] \in S^Q$       weight vector



$$[[\xi]] = [[\xi'] \cdot \zeta] = [[[\xi']] \cdot \zeta]$$

# Determinizing Weighted Tree Automata

(Büchse, May, and Vogler 2010)

Sufficient conditions: (pick one)

# Determinizing Weighted Tree Automata

(Büchse, May, and Vogler 2010)

Sufficient conditions:

- | acyclic

(May and Knight 2006)



# Determinizing Weighted Tree Automata

(Büchse, May, and Vogler 2010)

Sufficient conditions:

- II deterministic

# Determinizing Weighted Tree Automata

(Büchse, May, and Vogler 2010)

Sufficient conditions:

III semiring locally finite

(Borchardt 2004)

- ▶ Boolean semiring  $(\{\perp, \top\}, \vee, \wedge, \perp, \top)$
- ▶ capacity semiring  $(\mathbb{R} \cup \{-\infty, \infty\}, \max, \min, -\infty, \infty)$
- ▶ powerset lattice  $(\mathcal{P}(A), \cup, \cap, \emptyset, A)$

# Determinizing Weighted Tree Automata

(Büchse, May, and Vogler 2010)

Sufficient conditions:

IV **semiring extremal** ( $a + b \in \{a, b\}$ )

and ...

and ...

- ▶ Viterbi semiring
- ▶ tropical semiring
- ▶ Boolean semiring
- ▶ capacity semiring
- ▶ powerset lattice

$([0, 1], \max, \cdot, 0, 1)$

$(\mathbb{R}^{\geq 0} \cup \{\infty\}, \min, +, \infty, 0)$

(Mahr 1984)

# Determinizing Weighted Tree Automata

(Büchse, May, and Vogler 2010)

Sufficient conditions:

- IV semiring extremal ( $a + b \in \{a, b\}$ )  
and maximal factorization ( $f, g$ )  
and ...

$$\begin{array}{c} \begin{pmatrix} 4 \\ 6 \\ 2 \end{pmatrix} \\ \swarrow \quad \searrow \\ g \quad \quad f \\ \downarrow \quad \downarrow \\ 2 \quad \cdot \quad \begin{pmatrix} 2 \\ 3 \\ 1 \end{pmatrix} = \begin{pmatrix} 4 \\ 6 \\ 2 \end{pmatrix} \end{array}$$

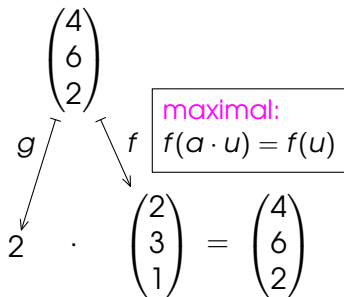
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and twins property ( $\text{SIBLINGS}(\mathcal{A}) \subseteq \text{TWINS}(\mathcal{A})$ )

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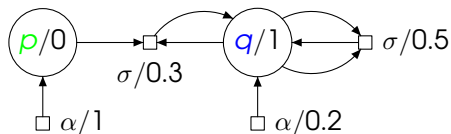
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$(p, q) \in \text{SIBLINGS}(\mathcal{A})$

iff  $\exists \xi: \llbracket \xi \rrbracket_p \neq 0, \llbracket \xi \rrbracket_q \neq 0$



# Determinizing Weighted Tree Automata

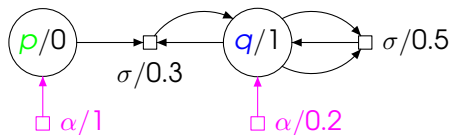
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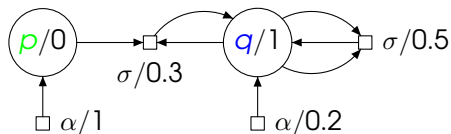
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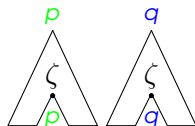
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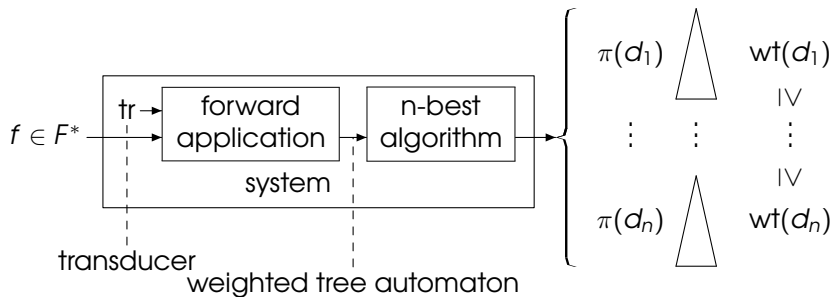
$(p, q) \in \text{TWINS}(\mathcal{A})$

iff  $\forall \zeta: \llbracket e_p \cdot \zeta \rrbracket_p \neq 0, \llbracket e_q \cdot \zeta \rrbracket_q \neq 0$   
 $\implies \llbracket e_p \cdot \zeta \rrbracket_p = \llbracket e_q \cdot \zeta \rrbracket_q$

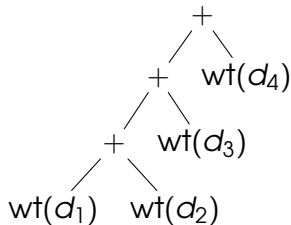


# Extremal Semiring – Victorious Runs

$$a + b \in \{a, b\}$$

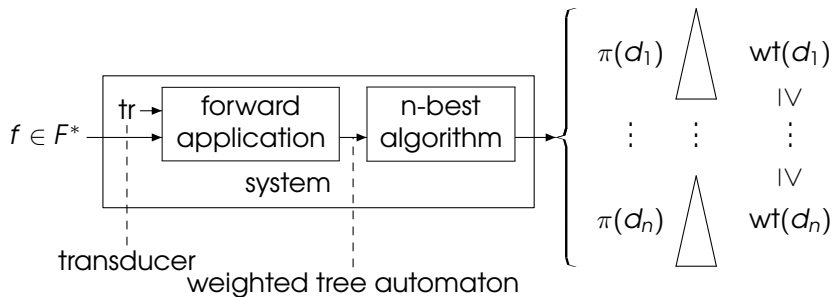


$$\tau(f, t) = \sum_{d: \pi(d)=t} wt(d)$$

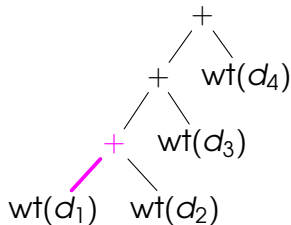


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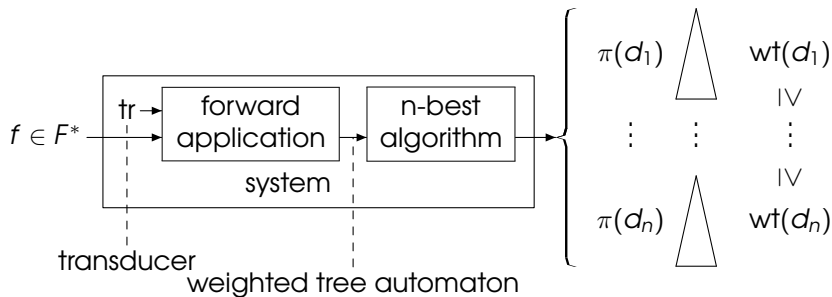


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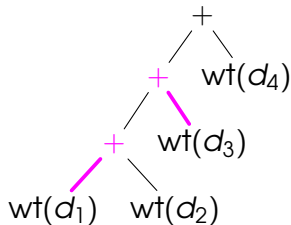


# Extremal Semiring – Victorious Runs

$$a + b \in \{a, b\}$$

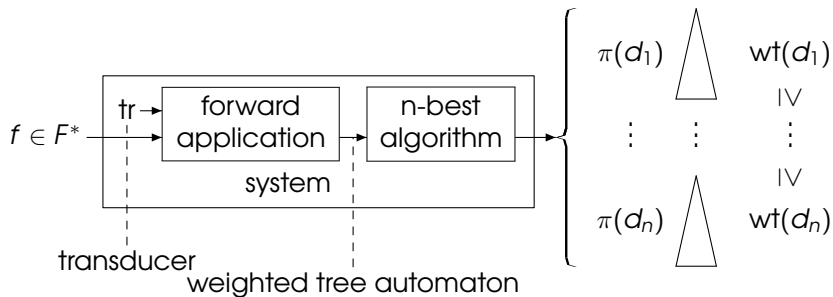


$$\tau(f, t) = \sum_{d: \pi(d)=t} wt(d)$$

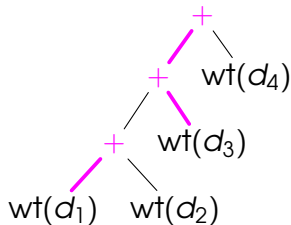


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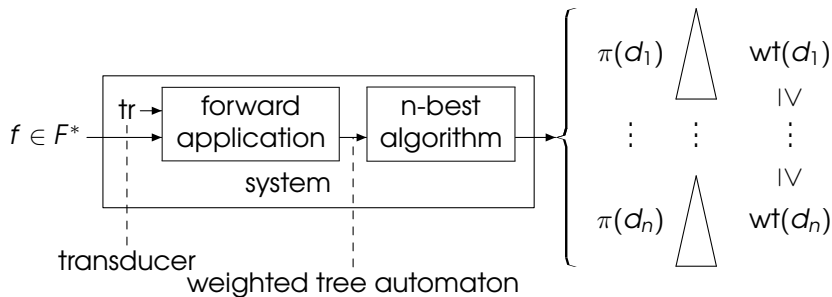


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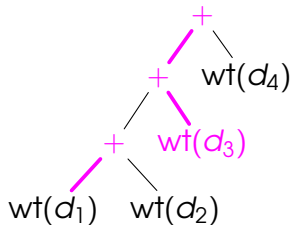


# Extremal Semiring – Victorious Runs

$$a + b \in \{a, b\}$$



$$\begin{aligned}\tau(f, t) &= \sum_{d: \pi(d)=t} wt(d) \\ &= wt(d') \text{ for some } d'\end{aligned}$$



# Outline

Twins Property in SMT

Deciding the Twins Property

Conclusion

## Search Space (Kirsten 2012)

Recall:	TP	iff	$\text{SIBLINGS}(\mathcal{A}) \subseteq \text{TWINS}(\mathcal{A})$
$(p, q) \in \text{SIBLINGS}(\mathcal{A})$		iff	$\exists \xi: \llbracket \xi \rrbracket_p \neq 0, \llbracket \xi \rrbracket_q \neq 0$
$(p, q) \in \text{TWINS}(\mathcal{A})$		iff	$\forall \zeta: \llbracket e_p \cdot \zeta \rrbracket_p \neq 0, \llbracket e_q \cdot \zeta \rrbracket_q \neq 0$ $\Rightarrow \llbracket e_p \cdot \zeta \rrbracket_p = \llbracket e_q \cdot \zeta \rrbracket_q$



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$\neg$ TP

	$(p, q)$	$\dots$	$(p', q')$
$\zeta_1$	✓	$\dots$	✓
$\zeta_2$	✓	$\dots$	✗
$\vdots$	$\vdots$		$\vdots$

counterexample will be found

TP

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nontermination!

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nontermination! but: regularity

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⋮	⋮		⋮

counterexample will be found



	$(p, q)$	...	$(p', q')$
	✓	...	✓
	✓	...	✗
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⋮	⋮		⋮

nontermination! but: regularity



	$(p, q)$	...	$(p', q')$
	✓	...	✓
	⋮	⋮	⋮
	✓	...	✓

finite search space

# Roadmap

step	description	conditions

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a	reveal regularity (Lemma 3.3)	commutative extremal semiring

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b	exploit regularity (Lemma 3.4)	extremal semifield (maximal factorization)

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a+b	Algorithm 1, Thm 3.1	extremal semifield



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a+b	Algorithm 1, Thm 3.1	extremal semifield
a+b+c	Algorithm 2	extremal semifield

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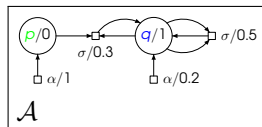
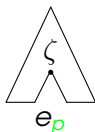
## Revealing Regularity

Recall:  $(p, q) \in \text{TWINS}(\mathcal{A})$  iff  $\forall \zeta: \llbracket e_p \cdot \zeta \rrbracket_p \neq 0, \llbracket e_q \cdot \zeta \rrbracket_q \neq 0$   
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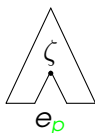
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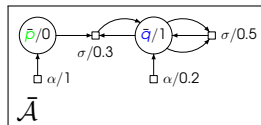
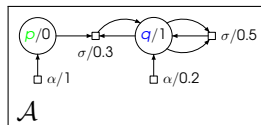
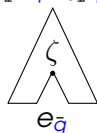
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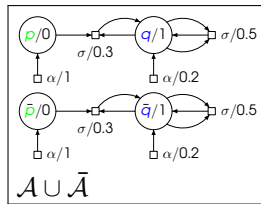
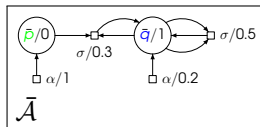
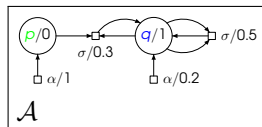
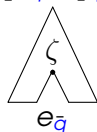
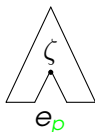
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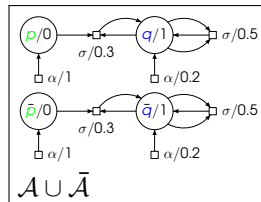
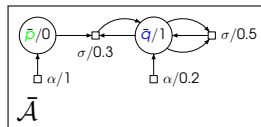
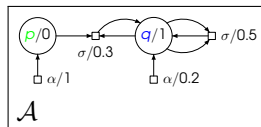
$$\llbracket e_p \cdot \zeta \rrbracket_p = \dots = \llbracket e_{\bar{q}} \cdot \zeta \rrbracket_{\bar{q}} = \llbracket (e_p + e_{\bar{q}}) \cdot \zeta \rrbracket_p = \llbracket (e_p + e_{\bar{q}}) \cdot \zeta \rrbracket_{\bar{q}}$$



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$$T_{p,q} = \{ \llbracket (e_p + e_{\bar{q}}) \cdot \zeta \rrbracket \mid \zeta \in C_{\Sigma} \}$$

$u \in T_{p,q}$  counterexample:  $u_p \neq 0, u_{\bar{q}} \neq 0, u_p \neq u_{\bar{q}}$

# The Regularity Property

Recall:  $T_{p,q} = \{ \llbracket (e_p + e_{\bar{q}}) \cdot \zeta \rrbracket \mid \zeta \in C_\Sigma \}$      $T_{p,q} \subseteq S \cdot S'$   
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Let  $\mathcal{A}$  have the twins property and  $(p, q) \in \text{SIBLINGS}(\mathcal{A})$ .

Then there is a finite set  $S' \subseteq S^{\text{QU}\bar{Q}}$  with  $T_{p,q} \subseteq S \cdot S'$ .

(Lemma 3.3)



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(Lemma 3.3)

Then  $f(T_{p,q} \setminus \{\tilde{0}\}) \subseteq f(S \cdot S' \setminus \{\tilde{0}\}) \subseteq f(S' \setminus \{\tilde{0}\})$ .

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A vector  $u$  is a counterexample iff so is  $f(u)$ .

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Let  $\mathcal{A}$  have the twins property and  $(p, q) \in \text{SIBLINGS}(\mathcal{A})$ .

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Roughly:  $S' \approx \{ \llbracket (e_p + e_{\bar{q}}) \cdot \zeta \rrbracket \mid \text{height}(\zeta) \leq 2|\mathcal{Q}|^{2|\mathcal{Q}|} \}$

# Outline

Twins Property in SMT

Deciding the Twins Property

Conclusion

# Conclusion

- ▶ twins property decidable
- ▶ complexity: PSPACE-hard (Kirsten 2012)
- ▶ search on compressed search space
- ▶ beyond extremal, beyond twins property?

# Literature

- Borchardt, Björn (2004). "A pumping lemma and decidability problems for recognizable tree series". In: [Acta Cybern.](#) 16 (4), pp. 509–544.
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- May, Jonathan and Kevin Knight (2006). "A better N-best list: practical determinization of weighted finite tree automata". In: [Proc. NAACL-HLT](#). ACL, pp. 351–358.

## Proof – Victorious Runs

$$[\xi] = \begin{pmatrix} [\xi]_{q_1} \\ \vdots \\ [\xi]_{q_k} \end{pmatrix}$$

weight  
vector

# Proof – Victorious Runs

$$\llbracket \xi \rrbracket = \begin{pmatrix} \llbracket \xi \rrbracket_{q_1} \\ \vdots \\ \llbracket \xi \rrbracket_{q_k} \end{pmatrix} \quad \begin{pmatrix} \kappa_{q_1} \triangle \\ \vdots \\ \kappa_{q_k} \triangle \end{pmatrix}$$

weight vector                      run vector

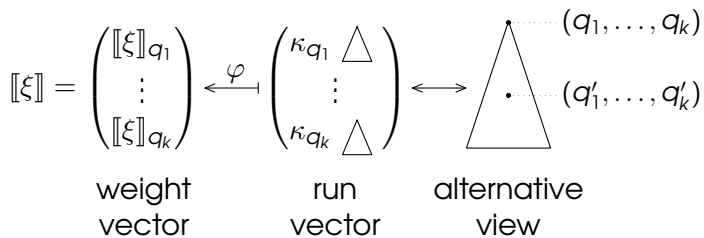


## Proof – Victorious Runs

$$\llbracket \xi \rrbracket = \begin{pmatrix} \llbracket \xi \rrbracket_{q_1} \\ \vdots \\ \llbracket \xi \rrbracket_{q_k} \end{pmatrix} \xleftarrow{\varphi} \begin{pmatrix} \kappa_{q_1} \triangle \\ \vdots \\ \kappa_{q_k} \triangle \end{pmatrix}$$

weight vector                      run vector

# Proof – Victorious Runs



# Proof – Smallest Counterexample

Recall:  $T_{p,q} = \{[(e_p + e_{\bar{q}}) \cdot \zeta] \mid \zeta \in C_\Sigma\}$      $T_{p,q} \subseteq S \cdot S'$   
 $S' \approx \{[(e_p + e_{\bar{q}}) \cdot \zeta] \mid \text{height}(\zeta) \leq 2|Q|^{2|Q|}\}$

